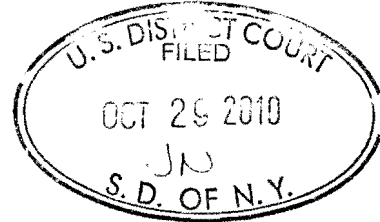


UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Herald, Primeco and Thema Fund Securities Litigation

**CERTIFICATE OF MAILING**



09 CV 289 (RMB)

I, Ruby J. Krajick, Clerk of Court for the Southern District of New York, do hereby certify that on the

**29<sup>th</sup> day of October, 2010**

I served the

Summons & Consolidated Amended Complaint

pursuant to the Federal Rule of Civil Procedure 4(f)(2)(c)(ii) filed and issued herein on the,

**11<sup>th</sup> day of February, 2010**

by mailing via Federal Express, in the Federal Express mail drop box located at 500 Pearl Street, NY, NY, a copy of each thereof, securely enclosed in a Federal Express envelope with a prepaid international airbill addressed to:

See attached for listing of Defendants

Federal Express International Air Waybill Tracking Number:

**8486 5023 5902**

A handwritten signature in black ink, appearing to read "Ruby J. Krajick", written over a horizontal line.

CLERK

Dated: New York, NY



International Air Waybill

Case 1:09-cv-00289-RMB-HBP Document 143 Filed 11/01/10 Page 2 of 2

FedEx Tracking Number 8486 5023 5902 Form ID No. 0402

**1 From**

Date \_\_\_\_\_ Sender's FedEx Account Number \_\_\_\_\_

Sender's Name \_\_\_\_\_ Phone \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Province \_\_\_\_\_

Country \_\_\_\_\_ ZIP \_\_\_\_\_ Postal Code \_\_\_\_\_

**2 To**

Recipient's Name \_\_\_\_\_ Phone \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_ Dept./Floor \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Province \_\_\_\_\_

Country \_\_\_\_\_ ZIP \_\_\_\_\_ Postal Code \_\_\_\_\_

Recipient's Tax ID number for Customs purposes  
e.g., GST/RFC/VAT/IN/EIN, or as locally required

**3 Shipment Information** ☐ For EU Only: Tick here if goods are not in free circulation and provide C.I.

Total Packages 1 Shipper's Load and Count/SLAC

Total Weight 2.0 lbs. kg DIM in cm

| Commodity Description<br>REQUIRED | Harmonized Code | Country of Manufacture | Value for Customs<br>REQUIRED |
|-----------------------------------|-----------------|------------------------|-------------------------------|
|                                   |                 |                        |                               |

For U.S. Export Only: Check One ☐ No SED required per Exemption ☐ No SED required, value \$2500 or less per Schedule B Commodity number

Total Declared Value for Carriage \_\_\_\_\_ Total Value for Customs (Specify Currency) \_\_\_\_\_

☐ SED attached (provide export license no. and exp. date or license exception symbol, w/ECCN if applicable)

**4 Express Package Service**

☐ FedEx Intl. Priority ☐ FedEx Intl. First Available to select locations Higher rates apply.

☐ \_\_\_\_\_ ☐ FedEx Intl. Economy FedEx Envelope and FedEx Pak rate not available

Packages up to 150 lbs. / 68 kg  
For packages over 150 lbs. (68 kg), use the  
FedEx Expanded Service Intl. Air Waybill.

Not all services and  
options are available  
to all destinations.  
Dangerous goods cannot  
be shipped using this  
Air Waybill.

**5 Packaging** \*These unique brown boxes with special pricing are provided by FedEx for FedEx Intl. Priority only.

☐ FedEx Envelope ☐ FedEx Pak ☐ FedEx Box ☐ FedEx Tube

☐ Other PW ☐ FedEx 10kg Box\* PX ☐ FedEx 25kg Box\*

**6 Special Handling**

☐ HOLD at FedEx Location ☐ SATURDAY Delivery Available to select locations for FedEx Intl. Priority only

**7a Payment Bill transportation charges to:**

Enter FedEx Acct. No. or Credit Card No. below.

☒ Sender Acct. No. in Section 1 will be billed. ☐ Recipient ☐ Third Party ☐ Credit Card ☐ Cash Check/ Cheque

FedEx Acct. No. \_\_\_\_\_ Total Transportation \_\_\_\_\_

Credit Card No. \_\_\_\_\_

Credit Card Exp. Date \_\_\_\_\_ Specify Currency \_\_\_\_\_

**7b Payment Bill duties and taxes to:** ALL shipments can be subject to Customs charges, which FedEx does not estimate prior to clearance.

Enter FedEx Acct. No. below.

☒ Sender Acct. No. in Section 1 will be billed. ☐ Recipient ☐ Third Party

FedEx Acct. No. \_\_\_\_\_

**8 Your Internal Billing Reference** First 24 characters will appear on invoice.

**9 Required Signature**

Use of this Air Waybill constitutes your agreement to the Conditions of Contract on the back of this Air Waybill, and you represent that this shipment does not require a U.S. State Department License or contain dangerous goods. Certain international treaties, including the Warsaw Convention, may apply to this shipment and limit our liability for damage, loss, or delay, as described in the Conditions of Contract.

**WARNING:** These commodities, technology, or software were exported from the United States in accordance with Export Administration Regulations. Diversion contrary to U.S. law prohibited.

Sender's Signature: \_\_\_\_\_

This is not authorization to deliver this shipment without a recipient signature.

FedEx  
Tracking  
Number

8486 5023 5902 0402

500

PART 158410  
Rev. Date 10/03  
©1994-2003 FedEx  
PRINTED IN U.S.A.Form  
ID No.

| Origin Station ID | Destination Station ID | URSA Routing | Handling Units |
|-------------------|------------------------|--------------|----------------|
|                   |                        |              |                |

Total Volume (cm) \_\_\_\_\_

Received At: ☐ Reg. Stop ☐ On-Call Stop ☐ Drop Box ☐ World Service Center ☐ Station

Forms Attached: ☐ CI ☐ SED ☐ CO

Base Charges \_\_\_\_\_ Declared Val. Chrg. \_\_\_\_\_ Other \_\_\_\_\_ ODA/OPA \_\_\_\_\_ Credit Card Auth. \_\_\_\_\_

FedEx Emp. # \_\_\_\_\_ Audit Emp. # \_\_\_\_\_ Date \_\_\_\_\_ Time \_\_\_\_\_ Del. Courier Emp. # \_\_\_\_\_ Date \_\_\_\_\_ Time \_\_\_\_\_